# Current regulatory framework of in vitro diagnostic medical devices, perspectives and Afssaps's innovation policy

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## Overview of the presentation

 1) Current legislation based on the New Approach

(DIRECTIVE 98/79/EC - 27 October 1998)

http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=CONSLEG:1998L0079:20031120:en:PDF

- 2) EU Perspectives : European IVD-WG, Revision of the directive 98/79/CE ?
- 3) Afssaps's innovation policy



### From biomarkers to IVD MD

<<<<<<Academics, R§D industries >>>>>>>><u><<<users, physicians</u>>>

**CE** mark

biomarker discovering

may be: R.U.O (Research use only)

may be: Devices for performances evaluation Annex VIII

IVD MD

developpement stage (preclinic, clinic) and validation

performance evaluation

> Notified body Annex II



## Regulatory framework: New Approach

#### **New approach**

- Free movment
- Mutual recognition (CE mark).
- Definition of essential requirements that devices have to meet.
- Risk assessment and risk/benefit analysis.
- Reference to harmonized european standards.

Manufacturer is the **only responsible** for placing on the european market products conform with the **essential requirements**.

⇒ Directive related to IVD MD 98/79/EC (27 octobre 1998).

Manufacturer issued the EC certificate of conformity for the EC marked products.

⇒ Essential requirements, Annexe I.

For high risks products, manufacturer shall ask for certification from a Notified Body.

⇒ Annexe II liste A et B, autotest.

Legal manufacturer places the products on the market under his own name.



## **Essential Requirements**

« Devices must meet the essential requirements set out in Annex I which apply to them, taking account of the intended purpose of the devices concerned.»

#### Annex I

- ..be used ...under the conditions and the purposes intended
- any risks which may be associated with their use must be acceptable when weighed against benefits and to the patient...
- they must achieve the performances ...in terme of analytical and diagnostic sensibility and specificity, relevant interferences, repetability...
- requirements for the labelling and the instructions for use.

### Requirements for self testing

- Annex II
- Conformity with common technical specifications drawn up for List A, and where necessary, List B.
- Notified Body



## **Member States**

- Sauvegarde clauses require the Member States to take all appropriate measures to withdraw unsafe products from the market
- ➤ Member States are still responsible for the protection requirements on their territory:

  Market Surveillance and Vigilance system.

- ➤ Member States are responsible for designation and surveillance of Notified Bodies.
- ➤ Member States must provide information to other Member States and to Commission.



## **News challenges**

- Revision of directive 98/79/EC (IVD-WG)
- Take in account new products
  - Pharmacogenomics test
- Genetic test without medical purpose
- Direct to consumer genetic test Theranostics
- Predictive test

- Personalized medicine









clinical utility and validity

diagnostic services and internet

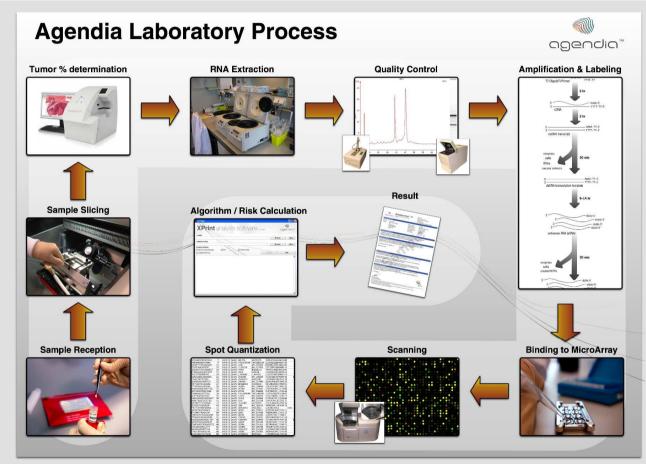
software (stand-alone)

new classification



## MammaPrint from Agendia

(suceptibility of breast cancer reccurrence)





## Clinical utility – Clinical validity

#### **Definitions**:

• Clinical utility:



- demonstration of the potential usefulness

 added value to patient management decision-making

• Clinical validity : — - diagnosis sensibility and specificity, predictive value

#### Issues:

- what is covered by these concepts to take into account IVDs specificities / MD specificities ?
- how to demonstrate the conformity of IVDs for these concepts?

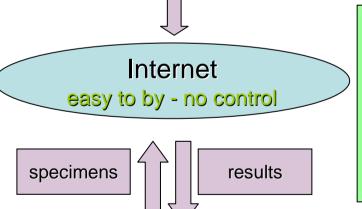


## Diagnostic services and internet

D. 98/79/EC: «placing on the market and putting into service»

#### Manufacturer

- products manufactured and used in a commercial context without being marketed
- results



#### Issues:

- ♥ MD IVD?
- Prohibit specific analysis?
- Control access to internet ?
- **\$ Confidentiality?**
- Advice to patient ?
- Responsability of the result ?

Clinical laboratories

Insurance

Lay person

**Doctors** 



## Software, stand-alone

Laboratory Information System (LIS) system (preanalytical)

Work Area Managers (WAM) (postanalytical)

Software intended to allow DIRECT diagnosis

Stand-alone software should be included in the definition of IVD? (D. related to MD 2007/47/EC)

#### <u>Issues</u>:

- **♥Rules?**
- **♥Definition?**
- **♦MD** or IVD MD?
- **\$Requirements?**
- **\$Classification?**



### Classification

#### D. 98/79/EC:

Safety of blood supply and of organ donation



Annexe II. liste A and B

#### Review:

- Adoption of a risk-based classification : A→C
- Increased flexibility,
- Protection of public health
- Alignement with GHTF(Global Harmonization Task Force)



#### Issues:

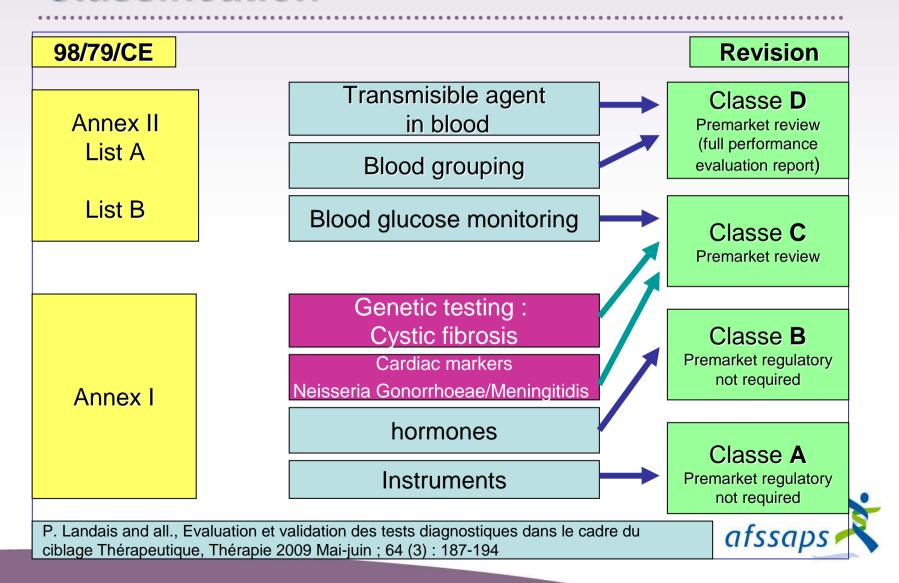
♥ Rules?

Data?

Transitional period?



### Classification



# **Example : drug development and personalised medicine, theranostic**

#### Improve outcomes by using diagnostic tests

- to predict patient response
- \$\square\$ side effects before treatement is initiated,
- monitor therapeutic measures

#### Issues: diagnostic test and drug

- \$\square\$ 2 different regulations (authorization or new approach)
- \$\simultaneous and dedicated development : one drug one test
- b putting on the market
- by one drug and some other tests?

HLA-B\*5701 and abacavir hypersensitivity reaction <<<<<HIV KRAS and Erbitux\* (anticorp cetuximab) <<<<<<<<<cd>colorectal cancer Her2 and Herceptin\* (trastuzumab) <<<<<<<d>colorectal cancer



# Afssaps's (new) innovation policy

the complexity of the regulatory landscape



specific assignment for interfacing innovators (meetings with « biotech companies")



facilitate their operations while not giving up our assignment as a health safety agency.

Jean Marimbert
Director General of AFSSAPS

2009 - http://www.focusreports.net

Request forms and support documents available on line:

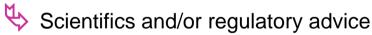
http://www.afssaps.fr/Activites/Accompagn ement-de-I-innovation/Afssaps-etinnovation/(offset)/0

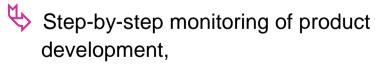


analysis of the request,



initial meeting in order to support innovation in several potential ways:





Letters of interest to the applicant

Forwarding information to other institutions



## Afssap's innovation policy in "practical"

#### •regulatory issues

- > about limitations of directive 98/79/EC
- > qualification (MD or IVD MD or ?)
- > about self-certification for most of new IVD
- > complexity of new IVD / central lab services
- > French clinical trails regulation
- > responsibilities (results)

#### •scientific issues

- > patient selection, treatment strategy,
- > safety or efficacy surrogate endpoint for drug development
- impact of false positive / false negative ?
- > validation of the clinical value of the biomarkers?

#### •ethics issues

- > predictive risks IVD without available treatment for the disease?
- > prognostic risks IVD without available treatment for the disease?

#### mutual benefits



For Afssaps: anticipation of future market, identification of possible new risks, identification of regulatory gaps...



afssaps

For Applicant: regulatory lighting and identification of regulatory authorities expectation, helping to build the scientific and strategic plan of development

# Specifics concerns identified for new IVD based on new Biomarkers

# others tools available for development plan

#### FDA: guidance and FAQ

- <a href="http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/default.ht">http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/default.ht</a>
   <a href="mailto:moreowners/medicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/default.ht">http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/default.ht</a>
   <a href="mailto:moreowners/medicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/default.ht">http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/default.ht</a>
   <a href="mailto:moreowners/medicalDevices/m
- Guidance for industry, clinical laboratories, and FDA Staff:
  - In Vitro Diagnostic Multivariate Index Assays.
  - Pharmacogenetic tests and genetic tests for heritable Markers.

**GHTF**: IVD group http://www.ghtf.org/

## Innovative medicine initiative http://www.imi.europa.eu/index en.html

**EMEA**: -Committee for medical products for humane use. **Biomarkers qualification**: guidance to applicants

-Novel Methodologies Qualification

http://www.emea.europa.eu/htms/human/raguidelines/sa\_pa.htm

#### **Eurpean Commission**

http://ec.europa.eu/enterprise/sectors/medical-devices/index fr.htm

GHTF: IVD group <a href="http://www.ghtf.org/">http://www.ghtf.org/</a>

**MEDDEV: 2.14 IVD** 

http://ec.europa.eu/enterprise/sectors/me
dicaldevices/documents/guideline/ind
ex en.htm

## Thank you for your attention

For more:

Web site: <u>WWW.Afssaps.fr</u>



And

Afssaps 2008 annual report in English (but also available in French)

http://www.afssaps\_fr/var/afssaps\_site/storage/original/application/f041acfdf73129ac6

b0487e7bb21a020.pdf



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And

Notice for Sponsors of CLINICAL TRIALS RELATING TO MEDICAL DEVICES AND IN VITRO DIAGNOSTIC MEDICAL DEVICES

http://www.afssaps.fr/var/afssaps\_site/storage/original/application/4952b4981d4e71b d8cedfcfeb3ce7b32.pdf